Legal information system of Regulatory Legal Acts of the Republic of Kazakhstan

Ministry of Justice of the Republic of Kazakhstan Republican Center of Legal Information

### On Permissions and Notifications

Unofficial translation

The Law of the Republic of Kazakhstan dated 16 May 2014 No. 202-V

This Law regulates public relations linked with introduction of permission or notification order of carrying out the private entrepreneurship by subjects and other persons provided by this Law, separate types of activity or actions.

#### Chapter 1. GENERAL PROVISIONS

#### Article 1. Basic definitions used in this Law

The following basic definitions are used in this Law:

- 1) authorized body in the scope of informatization the central state body carrying out management in the scope of informatization and "electronic government";
- 2) qualification requirements set of quantitative and qualitative regulations and indices characterizing an ability of an applicant and a licensee to engage in separate licensable type of activity and (or) subtype of a licensable type of activity submitted as upon issuance of the license and (or) annex to the license, so during the whole period of its validity;
- 3) body authorized for issuance of permission of the second category the state body or civil servant the competence of which includes the functions on carrying out the permission order in accordance with the legislation of the Republic of Kazakhstan;
- 4) owner of permission of the second category an individual or legal entity having valid permission of the second category;
- 5) licensable type of activity the type of activity (particular activity (operation, insurance classes) for engagement in which it is required to obtain a license in accordance with this Law;
- 6) license permission of the first category issued by a licenser to an individual or legal entity for carrying out the licensable type of activity or subtype of the licensable type of activity linked with high level of danger;
  - 7) licenser the state body carrying out licensing in accordance with this Law;
  - 8) licensee an individual or legal entity having a license;
- subtype of licensable type of activity specification of the relevant licensable type of activity within one
- 10) licensing set of measures linked with issuance and re-issuance of a license and (or) annex to a license and duplicate of a license and (or) annexes to a license, carrying out of permission control, suspension, renewal and termination of a license validity and (or) annexes to license;
- 11) license alienation possibility of reissuance of a license on another individual or legal entity without conducting procedure for conformance inspection to qualification requirements;
- 12) valid permission issued, prolonged or re-issued permission the validity of which is not suspended or not terminated in accordance with this Law;
- 13) applicant an individual or legal entity, branch or representation of a legal entity, a licensee, owner of permission of the second category that referred to the relevant licensing body for passing the licensing or licensing procedure or directed notification;
- 14) regulating state bodies the state bodies liable for regulation of the entrepreneurial activity in a particular scope in which the permission or notification order is introduced or subject to introduction;
- 15) permission confirmation of the right of an individual or legal entity for carrying out of the activity or actions (operations) carried out by licensing bodies by licensing or licensing procedure;
- 16) permission control activity of licensing bodies oriented to inspection of conformity of the applicant to qualification or permission requirements before issuance of permission and (or) annex to permission, as well as ensuring of compliance with the legislation of the Republic of Kazakhstan on permissions and notifications after their issuance by the licensees and owners of permissions of the second category;
  - 17) licensing bodies licensees and bodies authorized for issuance of permissions of the second category;
- 18) permission order establishment of obligatoriness of a person to have valid permission provided by this Law before beginning of carrying out the activity or actions (operations);
- 19) licensing procedure set of measures linked with the issuance of permission of the second category and commission of other actions provided by the legislation of the Republic of Kazakhstan in respect of him (her), as well as carrying out of permission control;
- 20) permission requirements set of quantitative and qualitative regulations and indices characterizing ability of an applicant and owner of permission of the second category to carry out separate type of activity or action (operation) in respect of which the permission order is introduced, submitted as upon issuance of permission of the second category, so during the whole period of its validity;
- 21) state electronic register of permissions and notifications a component of state informational system of permissions and notifications containing details on issued, re-issued, suspended, annulled, prolonged, renewed and terminated permissions and their duplicates, as well as on received notifications;
- 22) authorized body in the scope of permissions and notifications central state body carrying out the management and cross-sector coordination in the scope of permissions and notifications;
- 23) regulatory impact assessment of permission or notification order (hereinafter regulatory impact assessment) analytical procedure for correlation of profits and expenses from the introduced permission or notification order enabling assessing achievement of the purposes of the state regulation in the following;
- 24) tightening of permission or notification order establishment of additional requirements, obligations or another increase of loads on applicants, licensees or owners of permissions of the second category;
- 25) state informational system of permissions and notifications informational system that is a component of "electronic government" intended for carrying out of licensing, licensing procedures in electronic form in a part of receipt of permission with assignment of identification number, direction of notification by the applicant and ensuring of these
- 26) electronic form of permission permission in the form of electronic document drawn up and received with the use of state informational system of permissions and notifications equivalent to permission in hard copy;
  - 27) historical data information on permissions and notifications issued or directed during the period of temporary or

permanent absence of possibility of licensing and start bodies carrying out receipt of notifications to maintain the state electronic register of permissions and notifications;

- 28) notification the document drawn up by an applicant according to the form approved by the authorized body in the scope of permissions and notifications or the National Bank of the Republic of Kazakhstan, informing on beginning or termination of carrying out the activity or action;
- 29) notification order establishment of obligatoriness of an individual or legal entity to notify the state body carrying out receipt of notifications before beginning of carrying out the activity or actions on this order established by this Law:
- 30) concurrent permission the permission of the second category that is compulsory condition for issuance of another permission to the applicant in accordance with the legislation of the Republic of Kazakhstan;
- 31) authorized bodies in the scope of export and import central state bodies carrying out management in the scope of export and import.

Footnote. Article 1 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

### Article 2. Legislation of the Republic of Kazakhstan on permissions and notifications

- 1. Legislation of the Republic of Kazakhstan on permissions and notifications is based on the Constitution of the Republic of Kazakhstan and consists of this Law and other regulatory legal acts of the Republic of Kazakhstan.
- 2. If international treaty ratified by the Republic of Kazakhstan establishes other rules than those provided by this Law, the rules of international treaty shall be applied.

#### Article 3. Scope of activity of this Law

- 1. Force of this Law shall apply to all the permissions and notifications in the Republic of Kazakhstan satisfying the following sings at the same time:
- 1) permission shall be received, and notification shall be directed by an individual or legal entity for beginning of carrying out the activity or action (operation);
- 2) receipt of permission (except for concurrent permission) and direction of notification in accordance with requirements of the regulatory legal acts of the Republic of Kazakhstan are compulsory for a circle of persons established by the legislation of the Republic of Kazakhstan, and carrying out of their activity or actions (operations) without their receipt shall entail criminal or administrative responsibility;
- 3) issuance of permission and receipt of notification shall be carried out by the authorized state bodies or civil servants of the authorized state bodies:
- 4) obligation on receipt of permission, direction of notification shall be imposed on: individuals and legal entities subjects of private entrepreneurship; individuals acquiring the right to engage in regulated professional activity; individuals and legal entities that are not the subjects of private entrepreneurship but obliged to receive the same permissions for carrying out of own activity or actions (operations) as the subjects of private entrepreneurship;
- 5) bodies carrying out issuance of permission are authorized to conduct inspection of conformity to requirements established by the regulatory legal acts, and in case of non-conformance to requirements established by the legislation of the Republic of Kazakhstan to refuse in issuance of permission.
  - 2. Force of this Law shall not apply to:
- 1) permissions not provided in annexes 1 and 2 to this Law, established by the Law of the Republic of Kazakhstan "On technical regulation";
- 2) state registration of legal entities and record registration of branches and representatives, state registration of termination of activity of legal entities, removal from record registration of branches and representatives;
- 3) registration of currency operations and notification on currency operations and on opening of an account in a foreign bank carried out in accordance with the Law of the Republic of Kazakhstan "On currency regulation and currency control";
- 4) state registration of issuing equity securities, permission for issuance and (or) placement of equity securities of organizations-residents in the territory of the foreign state including information and reports represented by the issuers of securities in accordance with the Law of the Republic of Kazakhstan "On security market";
- 5) notification (details) directed by the issuers of securities and financial organizations in the process of carrying out the activity in a financial scope and activity linked with a concentration of financial resources in accordance with the regulatory legal acts of the National Bank of the Republic of Kazakhstan;
- 6) permissions not provided in annex 2 to this Law, established by the Law of the Republic of Kazakhstan "On State Border of the Republic of Kazakhstan";
- 7) actions of the authorized body carrying out management in the scopes of natural monopolies and at regulated markets, in a part of regulation of the tariff making of subjects of natural monopolies and price formation of subjects of the regulated market;
  - 8) permissions established by the Law of the Republic of Kazakhstan "On state secrets";
- 9) permissions related to performance of requirements on safety of flights and aviation security and issued by the authorized body in the scope of civil aviation to individuals and legal entities.
- Footnote. Article 3 as amended by the Laws of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3); dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

### Article 4. Basic principles of state regulation in the scope of permissions and notifications

Basic principles of state regulation in the scope of permissions and notifications are:

- 1) balance of interests of a consumers, entrepreneurs and the state;
- 2) relevancy and effectiveness of introducing permission or notification order;
- 3) transparency of activity of the state bodies and accessibility of information;
- 4) mutual responsibility;
- 5) liberty from corruption.

### Article 5. Balance of interests of consumers, entrepreneurs and the state

- 1. Introduction of permission or notification order shall be carried out for the purpose of ensuring the sufficient safety level of the activity or actions (operations), maximal effective protection of rights of consumers upon minimal objectively necessary load on entrepreneurs.
- 2. Qualification and permission requirements shall ensure minimal necessary set of quantitative and qualitative regulations and indices sufficient to the applicant, licensee or owner of permission of the second category for ensuring of

required level of safety of forthcoming activity and actions (operations) or object.

3. Licensing bodies shall not have the right to require representation of documents not provided directly by the regulatory legal acts of the Republic of Kazakhstan.

# Article 6. Relevancy and effectiveness of introduction of permission or notification order

- 1. Relevancy and effectiveness of introducing permission or notification order shall be ensured by introduction of compulsory procedures of substantiation, coordination and monitoring of their effectiveness in reaching the purposes of the state regulation.
- 2. Size of duties or payments recovered upon issuance of permissions, as well as in other cases, shall be determined from necessity of compensation of expenses of the state for administrating of permission order.

Upon restrictive character of regulation by the Laws of the republic of Kazakhstan, the recovery and amount of duties or payments may be provided upon issuance of permissions as a property qualification and restriction for market entry, as well as in respect of activity with a high level of danger or permissions issued for activity with limited resources or with the use of quotas.

- 3. Load from introduced permission or notification order shall be measures and assessed by regulatory impact assessment.
- 4. Selection of regulation instruments shall be carried out proceeding from assessment and principles of risk management in respect of the types of activity or actions (operations) and subjects of private entrepreneurship.

Dependently from level of danger linked with the forthcoming activity or action (operation) of different subjects of private entrepreneurship, the requirement on receipt of permission or direction of a notification may be established within one type of activity or action (operation).

5. Permission order shall be established in cases if requirements to the products provided by the Laws of the Republic of Kazakhstan, requirements on compulsory confirmation of conformity are insufficient for reaching the purposes of the state regulation.

### Article 7. Transparency of activity of the state bodies and information availability

- 1. Introduction of amendments and (or) supplements into legislative acts of the Republic of Kazakhstan concerning the permissions and (or) notifications shall be carried out after discussion of these amendments and (or) supplements with public.
- 2. Information available at the state bodies not limited to use and required to the interested persons shall be available.
- 3. Details on issued permissions, directed notifications, conditions of issuance of permissions and direction of notifications shall be placed on website of "electronic government" and websites of the state bodies in Kazakh and Russian languages, with the exception of information containing state secrets and other secrets protected by the Law.
- 4. All the procedures and requirements linked with introduction and carrying out of permission or notification order shall be understandable for all the interested persons.

#### Article 8. Mutual responsibility

- 1. State shall confirm ensuring of minimal security level by the licensee, owner of permission of the second category by issuance of permission in accordance with the purposes of the state regulation by the state.
- 2. Possibility of collegial consideration and (or) adoption of decision may be established as a rule in case of necessity of carrying out the assessment of experience and (or) qualification of an applicant or selection of the best conditions for carrying out the activity or action (operation) of the applicant in comparison with conditions of the other applicants.

#### Article 9. Liberty from corruption

- 1. Upon introduction and carrying out of permission or notification order, the facts of a conflict of interests and selective enforcement of law shall be excluded.
- 2. For the purpose of limitation of contacts of individuals and legal entities with the state bodies in the process of licensing and licensing procedures upon adoption of regulatory legal acts regulating the procedure for carrying out the licensing procedures, as a rule, the application of a principle of "one window" upon which all necessary coordinations from the state bodies shall be received by the licensing bodies themselves shall be provided.
- 3. No one shall have the right to require from individuals and legal entities the existence of permissions or notifications not provided by this Law.

### Chapter 2. STATE REGULATION SYSTEM IN THE SCOPE OF PERMISSIONS AND NOTIFICATIONS

# Article 10. Competence of the Government of the Republic of Kazakhstan

Competence of the Government of the Republic of Kazakhstan shall include:

- 1) development of basic directions of the state policy in the scope of permissions and notifications;
- 2) determination of licensees and state bodies that shall carry out coordination of issuance of a license;
  3) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see
- 3) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 4) determination of bodies authorized to issue permissions of the second category, state bodies carrying out coordination of issuance of permission of the second category;
- 5) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 6) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 7) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 8) approval of the list of poisons, production, processing, acquisition, storage, sale, use and destruction of which shall be subject to licensing;
- 9) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);

- 10) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 11) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 12) performance of other functions imposed on it by the Constitution, this Law, other Laws of the Republic of Kazakhstan and acts of the President of the Republic of Kazakhstan.

Footnote. Article 10 as amended by the Laws of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3); dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

#### Article 11. Competence of the authorized body in the scope of permissions and notifications

Competence of the authorized body in the scope of permissions and notifications shall include:

- 1) implementation of state policy in the scope of permissions and notifications;
- 2) management of the development process, establishment of rights of access, ensuring of functioning of the state electronic register of permissions and notifications;
- 3) development and approval of forms of applications for receipt and re-issuance of a license and (or) annex to a license, forms of a license and (or) annex to a license;
- 4) development and approval of the regulatory legal act on approval of forms of notifications and rules of receipt of notifications by the state bodies, as well as on determination of state bodies carrying out receipt of notifications;
  - 5) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
  - 6) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
  - 7) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
  - 8) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015); 9) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
- 10) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
- 11) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
- 12) approval of joint order with the authorized body in the scope of informatization on approval of the list of permissions subjected and not subjected to automation;
- 13) is excluded by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3);
- 14) exercise of other powers provided by this Law, other Laws of the Republic of Kazakhstan, acts of the President of the Republic of Kazakhstan and the Government of the Republic of Kazakhstan.

Footnote. Article 11 as amended by the Laws of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3); dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

### Article 12. Competence of regulating state bodies

- 1. Competence of regulating state bodies shall include:
- 1) development and coordination of drafts of regulatory legal acts on determination of licensers, state bodies that carry out the coordination of issuance of a license with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization;
- 1-1) development, coordination with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization and approval of the regulatory legal acts on approval of the qualification requirements and the list of documents confirming the conformance to them, with the exception of qualification requirements and the list of documents to licensable types of activity in the scope of gambling industry;
- 2) development and coordination of drafts of the regulatory legal acts on determination of the bodies authorized to issue permissions of the second category, state bodies that carry out coordination of issuance of permissions of the second category, with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization;
- 2-1) development, coordination with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization and approval of the regulatory legal acts on approval of permission requirements and the list of documents confirming the conformance to them;
- 3) development, coordination with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization and approval of the forms of applications for receipt of permission of the second category, forms of permissions of the second category;
- 4) development, coordination with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization and approval of rules of carrying out the licensing procedures and rules of carrying out the activity or actions (operations) for which the licensing procedure is introduced by this Law;
  - 5) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
  - 6) is excluded by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015);
- 7) issuance of conclusions upon applications of individuals and legal entities on necessity or absence of necessity to receive permissions for carrying out of particular types of activity or actions (operations);
- 8) exercise of other powers provided by this Law, other Laws of the Republic of Kazakhstan, acts of the President of the Republic of Kazakhstan, Government of the Republic of Kazakhstan and the National Bank of the Republic of Kazakhstan.
- 2. For activity in a financial scope and activity linked with a concentration of financial resources, the qualification requirements and list of documents confirming the conformance to them, forms of applications for receipt of licenses and forms of licenses and (or) annexes to a license, permission requirements and list of documents confirming the conformance to them, forms of applications for receipt of permissions and forms of permissions of the second category, rules of carrying out the licensing procedures and rules of carrying out the activity or actions (operations) for which the licensing procedure, forms of notifications and procedure for receipt of notifications are introduced by this Law, for which the notification order is introduced by this Law, shall be developed and approved by the National Bank of the Republic of Kazakhstan in concurrence with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization.

Footnote. Article 12 as amended by the Laws of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3); dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

#### Article 13. Competence of licensing bodies

Competence of licensing bodies shall include:

- 1) establishment of conformance of an applicant to qualification or permission requirements;
- 2) carrying out of licensing or licensing procedures;
- 3) carrying out of permission control;

- 4) introduction of the state electronic register of permissions and notifications, with the exception of information containing the state secrets and other secrets protected by the Law, permissions for acquisition of civil and service weapons and their ammunition, non-military pyrotechnical substances and items with their use, permissions to labour migrants;
- 5) carrying out of licensing procedures and licensing in electronic form by the state informational system of permissions and notifications according to the rules of its functioning;
- 6) direction of request to the state bodies on coordination of issuance of permission in a part of conformance of an applicant to requirements of the legislation of the Republic of Kazakhstan;
- 7) exercise of other powers provided by this Law, other Laws of the Republic of Kazakhstan, acts of the President of the Republic of Kazakhstan, Government of the Republic of Kazakhstan and the National Bank of the Republic of Kazakhstan.

### Article 14. Competence of state bodies carrying out receipt of notifications

Competence of state bodies carrying out receipt of notifications shall include

- 1) receipt of notifications;
- 2) maintenance of the state electronic register of permissions and notifications;
- 3) carrying out of notification order in electronic form by the state informational system of permissions and notifications according to the rules of its functioning;
  - 4) inspection of compliance with requirements established by the regulatory legal acts by an applicant;
- 5) exercise of other powers provided by this Law, other Laws of the Republic of Kazakhstan, acts of the President of the Republic of Kazakhstan, Government of the Republic of Kazakhstan and the National Bank of the Republic of Kazakhstan.

Footnote. Article 14 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

# Article 15. Competence of the authorized body in the scope of informatization

Competence of the authorized body in the scope of informatization shall include:

- 1) development and approval of joint order with the authorized body in the scope of permissions and notifications on approval of the list of permissions subjected and not subjected to automation;
- 2) development, coordination with the authorized body in the scope of permissions and notifications and approval of the rules of functioning of the state informational system of permissions and notifications;
- 2-1) development, coordination with the authorized body in the scope of permissions and notifications and approval of the rules of maintenance of the state electronic register of permissions and notifications;
- 3) exercise of other powers provided by this Law, other Laws of the Republic of Kazakhstan, acts of the President of the Republic of Kazakhstan and the Government of the Republic of Kazakhstan.

Footnote. Article 15 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

### Chapter 3. PERMISSION AND NOTIFICATION ORDERS OF CARRYING OUT THE ACTIVITY OR ACTIONS (OPERATIONS)

# Article 16. Levels of danger of regulated activity or actions (operations)

- 1. Permission or notification order shall be introduced depending on level of danger of the activity or actions (operations) subjected to carrying out and shall be divided into the following levels:
- 1) permissions of the first category licenses that shall be introduced in respect of the types (subtypes) of activity or actions (operations) linked with a high level of danger;
- 2) permissions of the second category all the permissions that are not the licenses that shall be introduced in respect of the types (subtypes) of activity or actions (operations) linked with a medium level of danger;
- 3) notifications shall be introduced in respect of the types of activity or actions linked with a low level of danger, but requiring receipt of information by the state bodies on beginning or termination of such types of activity or actions.
- 2. Levels of danger of activity or actions (operations) shall be established on the basis of regulatory impact assessment.

### Article 17. General provisions on permission and notification orders

- 1. For beginning and the following carrying out of separate types of activity or actions (operations), the individuals and legal entities shall be obliged to have a valid permission or direct a notification to the state bodies carrying out receipt of notifications in the manner established by this Law.
- 2. Carrying out of activity or actions (operations) by individuals and legal entities, for which the permission or notification order is established, shall not be allowed without receipt of the relevant permission or without direction of the relevant notification.

Permission shall be received by individuals or legal entities and be valid, and the notification shall be directed by an individual or legal entity before beginning of carrying out the activity or action (operation) in respect of which the permission or notification order is established by this Law.

- 3. Individuals and legal entities that carried out the activity or actions (operations) before introduction of permission or notification order in respect of them in accordance with this Law without permission or notification shall be obliged to receive the relevant permission or direct the relevant notification for a continuation of carrying out the activity or action (operation) in respect of which the permission or notification order is introduced by this Law.
- 4. Paragraphs 1 and 2 of this Article shall not apply to the cases provided by a part one of paragraph 5 of Article 18 and paragraph 2 of Article 28 of this Law.
- 5. Establishment of permission or notification order by this Law shall be performed depending on a level of danger of the activity or action (operation) for the purpose of protection of life and health of people, environment, property, ensuring of the national security and legal order.
  - 6. Annexes 1, 2 and 3 to this Law shall provide comprehensive lists of permissions and notifications.
- 7. Issuance, prolongation, re-issuance, renewal and carrying out of other actions provided by the legislation of the Republic of Kazakhstan in respect of permissions, as well as annexes to them are the state services and in a part not inconsistent with this Law, shall be regulated by the Law of the Republic of Kazakhstan "On state services".

#### Article 18. Procedure for introduction and cancellation

#### of permission or notification order

- 1. Permission or notification order shall be introduced only by inclusion of the relevant permission or notification into the lists of permissions or notifications provided in Annexes 1, 2 and 3 to this Law.
- 2. For introduction of permission or notification order, the regulating state bodies shall conduct preliminarily a procedure of regulatory impact assessment in accordance with the Law of the Republic of Kazakhstan "On private entrepreneurship".

Force of this paragraph shall not apply to the National Bank of the Republic of Kazakhstan.

- 3. Upon introduction of permission order in respect of the activity or action (operation) previously not subjected to permission order, the obligatoriness of obtaining permission shall occur at the individual or legal entity carrying out the activity or action (operation) from the date of entering of the regulatory legal act into force regulating the procedure for obtaining permission and (or) establishing the requirements being compulsory for obtaining the permission.
- 4. Regulatory legal acts regulating the procedure for obtaining permission, approving permission or qualification requirements and (or) the list of documents confirming the conformance of applicants to such requirements may not be entered into force before expiration of twenty one calendar days after the date of their first official publication.
- In case of introduction of permission order in respect of the activity or actions (operations) previously not subjected to permission order, the applicants shall have the right to file an application for obtaining permission before entering of the regulatory legal acts into force regulating the procedure for obtaining the permission approving permission or qualification requirements and (or) the list of documents confirming the conformance of applicants to such requirements, but no less than five business days before entering of such acts into force.

By this, the issuance of permissions or substantiated refusals in their issuance upon applications filed in accordance with a part two of this paragraph shall be carried out by licensing bodies after entering of the regulatory legal acts into force mentioned in a part one of this paragraph within the terms established for their issuance.

5. Applicants that filed an application for obtaining permission in accordance with paragraph 4 of this Article shall have the right to carry out the activity or action (operation) in respect of which the permission order is introduced without existence of permission before issuance of permission or substantiated refusal in its issuance.

Force of this paragraph shall not apply to permissions issued for activity in a financial scope and the activity linked with a concentration of financial resources.

6. Cancellation of permission or notification order shall be carried out by exclusion of permission or notification from the lists of permissions and notifications provided in Annexes 1, 2 and 3 to this Law, and shall entail the right of an individual or legal entity to carry out the activity or action (operation) without existence of permission or direction of a notification.

Footnote. Article 18 as amended by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

#### Article 19. Regulatory impact assessment

1. Following the results of regulatory impact assessment depending on effectiveness of applying permission or notification order, the separate types of permissions or notifications may be transferred from one type to another or cancelled.

Permission or notification order shall be subject to cancellation in case of non-reaching the purposes of the state regulation of activity or actions (operations) for carrying out of which the existence of permission or direction of notification is required in accordance with this Law.

2. Force of this Article shall not apply to the National Bank of the Republic of Kazakhstan.

Footnote. Article 19 is in the wording of the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

### Article 20. Rights of applications

- 1. Applications shall have the right to:
- receive full and credible information on permissions and notifications;
- 2) appeal decisions, actions (omission) of licensing bodies and state bodies carrying out receipt of notifications, and (or) their civil servants, public service centres and (or) their employees on the issues of carrying out the licensing and licensing procedures or receipt of notifications in the manner established by the legislation of the Republic of Kazakhstan;
- 3) choose electronic or hard copy form of an application for obtaining permission and (or) annex to it or for direction of notification considering the provisions of Article 48 of this Law, with the exception of issuance of permissions by a competition:
- 4) choose electronic or hard copy of issued permission and (or) annex to it considering the provisions of Article 48 of this Law.
- 2. Foreign persons, stateless persons and foreign legal persons shall receive permissions and direct notifications equally with citizens and legal entities of the Republic of Kazakhstan, unless otherwise provided by the Laws of the Republic of Kazakhstan and international treaties.

Footnote. Article 20 as amended by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

#### Article 21. Rights and obligations of licensing bodies

- 1. Licensing bodies shall have the right to refer with request to the state bodies within the competence for information required for carrying out a licensing or licensing procedure, as well as by informational systems.
  - 2. Licensing bodies shall be obliged to:
  - 1) carry out licensing and licensing procedures in accordance with this Law;
  - $\hbox{2) create necessary conditions for disabled persons upon obtainment of permissions by them;}\\$
- 3) represent full and credible information in available form on licensing, licensing procedures, list of documents required for this and procedure for receipt and drawing up of such documents;
- 4) represent documents and (or) information to the state bodies and public service centres required for carrying out of licensing and licensing procedures, as well as by informational systems;
- 5) take measures oriented to restoration of violated rights, freedoms and legal interests of applicants, licensees and owners of permissions of the second category;
- 6) ensure uninterrupted functioning and filling of informational systems within the competence containing necessary details for issuance of permissions;
- 7) receive written consent of applicants, licensees and owners of permissions of the second category, as well as in the form, of electronic document for use of personal data of restricted access representing a secret protected by the Law containing in informational systems upon issuance of permissions, unless otherwise provided by the Laws of the Republic of Kazakhstan;
- 8) represent information upon request of the authorized body on investments required for carrying out licensing and licensing procedures in respect of investors realizing investment priority projects in accordance with the Law of the

Republic of Kazakhstan "On investments".

Footnote. Article 21 as amended by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

#### Chapter 4. PERMISSIONS

#### Article 22. Force of permissions

- 1. Issuance of permissions shall be carried out on equal grounds and equal conditions for all the persons answering to qualification or permission requirements.
  - 2. Annexes 1 and 2 to this Law as a note shall include details:
  - 1) on alienation of a license;
  - 2) on application of procedures for competition upon issuance of permission;
  - 3) on validity term of permission;
- 4) on non-application of force of a part one of paragraph 3 of Article 25 and paragraphs 1 and 2 of Article 26 of this Law upon issuance of permission;
  - 5) other necessary details.
- 3. Force of permissions shall apply to all the territory of the Republic of Kazakhstan, with the exception of cases provided by the Laws of the Republic of Kazakhstan.

#### Article 23. Classes of permissions

Depending on objects of regulation, the permissions shall be divided into the following classes:

- 1) class 1 permissions issued for activity;
- 2) class 2 permissions issued for objects;
- 3) class 3 one-time permissions;
- 4) class 4 permissions issued for activity with restricted resources or with the use of quotas;
- 5) classes 5 permissions issued for professional activity to individuals;
- 6) class 6 permissions issued for products.

#### Article 24. Forms of permissions and (or) annexes to them

Forms of permissions and (or) annexes to them shall be approved by regulating state bodies in concurrence with the authorized body in the scope of permissions and notifications and the authorized body in the scope of informatization, as well as the National Bank of the Republic of Kazakhstan for activity in a financial scope and activity linked with a concentration of financial resources.

Annex to permission is an integral part of permission.

Annex to a license shall be drawn up for specifying the subtypes of a licensable type of activity or actions (operations) or objects on which the license is issued or is subject to issuance, as well as specification of factual address of carrying out of the activity or actions (operations).

Footnote. Article 24 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

### Article 25. Common procedure for consideration of applications for obtainment of permissions

1. Within two business days from the date of receipt of documents of an applicant for issuance of permission and (or) annex to it, licensing body upon licensing or upon carrying out licensing procedures shall be obliged to check completeness of represented documents.

In case of representing incomplete package of documents by the applicant, the licensing body shall give a substantiated refusal in the following consideration of the application within specified terms.

2. For receipt of coordinations (concurrent permissions) of the state bodies for the purpose of conforming the applicant to requirements established by the regulatory legal acts, in cases established by the Laws of the Republic of Kazakhstan, acts of the President of the Republic of Kazakhstan or regulations of the Government of the Republic of Kazakhstan, the licensing body shall direct a request to the relevant state bodies at the place of carrying out of the forthcoming activity or action (operation) by the applicant within two business days from the date of registration of documents of the applicant for obtaining permission and (or) annex to it.

State bodies on the basis of a request of the licensing body shall direct a respond within ten business days to the relevant licensing body on conformance or non-conformance of an applicant to requirements submitted upon licensing or upon carrying out of licensing procedures.

For permissions of the second category, the regulatory legal acts may establish the other terms for the cases provided by paragraph 1 of this Article, parts one and two of this paragraph.

3. In case of non-representation of respond within established terms by the state bodies, the issuance of permission shall be considered as coordinated.

Provisions of this paragraph shall not apply to permissions on which there is the relevant note in Annexes 1 and 2 to this Law.

4. All the documents represented to the relevant licensing body or to the public service centre for issuance of permission and (or) annex to it shall be accepted by inventory, the copy of which shall be directed (handed) to an applicant with a note on a date of acceptance of the documents by the mentioned body.

By this, the inventory shall be drawn up by the applicant.

In case of filing application in electronic form by the state informational system of permissions and notifications, the document on confirmation of acceptance of the relevant application certified by electronic digital signature of the authorized body of the licensing body shall be issued.

Footnote. Article 25 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

#### Article 26. Consequences of delay of issuance of permission

- 1. In case if the licensing body did not issue permission and (or) annex to permission or did not represent a substantiated refusal in their issuance to an applicant within the terms determined in accordance with this Law, the permission and (or) annex to permission shall be considered as issued from the date of expiration of terms of their issuance and at the same time shall be included by the licensing body into the state electronic register of permissions and notifications.
- 2. No later than five business days from the date of expiration of term of issuing permission and (or) annex to permission, the licensing body shall be obliged to issue the relevant permission and (or) the annex to permission.

In case of non-issuance of permission and (or) annex to permission by the licensing body, the permission and (or) annex to permission shall be considered as received upon expiry of five business days. In this case, the confirmation of legality of carrying out the activity or action (operation) for which this Law establishes a permission order before obtainment of permission is the document confirming the acceptance of the relevant application for obtaining the permission by the licensing body or public service centre issued on a date of receipt of the application.

In case of filing an application in electronic form by the state informational system of permissions and notifications, the confirmation of acceptance of the application is the document certified by electronic digital signature of the authorized

3. Provisions of this Article shall not apply to permissions on which in Annexes 1 and 2 to this Law there is relevant

### Article 27. Special aspects of representing documents for issuance of permission and (or) annex to it by foreign legal entities

Foreign legal entities shall make payment of duties or payments recovered upon carrying out of licensing or licensing procedures on an independent basis upon condition of receipt of own business identification number or by branches and (or) representatives of these legal entities registered in the territory of the Republic of Kazakhstan, in existence of powers of heads of branches and (or) representatives and with the use of requisites and business identification numbers of such branches and (or) representatives.

#### Chapter 5. LICENSING

#### Article 28. Scopes of licensing

- 1. Separate types of activity or actions (operations) in the following scopes shall be subject to licensing:
- 1) television and radio broadcasting;
- 2) culture:
- 3) education;
- 4) architecture, urban construction and building;
- 5) oil and gas;
- 7) informatization and communications;
- 8) turnover of narcotic substances, psychotropic substances, precursors;
- 9) health care service;
- 10) use of atomic energy;
- 11) ensuring of information security;
- 12) special technical means intended for conduct of operational investigative measures;
- 13) turnover of armament, military technology and separate types of weapons, explosive substances and items with their
- 14) turnover of poisonous substances;
  - 15) production of state symbols of the Republic of Kazakhstan;
  - 16) production and turnover of ethyl alcohol and alcohol products, production of tobacco products;
  - 17) goods exchanges;
  - 18) export and import;
  - 19) financial field and activity liked with a concentration of financial resources;
  - 20) use of cosmic space;
  - 21) gambling business;
  - 22) veterinary;
  - 23) rural economy 24) transport;

  - 25) judicial expert activity;
  - 26) serving of individuals and legal entities.
  - 2. Carrying out of activity by the following subjects shall be allowed without existence of a license:
  - 1) state bodies and state servants within the powers;
- 2) autonomous educational organizations and their organizations, as well as foreign legal entities introducing and (or) realizing educational programs in mentioned organizations for carrying out of the activity in the scope of education;
  - 3) Development Bank of Kazakhstan within the powers established by the Laws of the Republic of Kazakhstan;
- 4) in a financial scope and activity linked with a concentration of financial resources carried out by credit partnerships, central depository, registrator, credit office with a state participation, mutual insurance companies, operator of payment gateway of "electronic government", State Accumulative Pension Fund, National postal operator within the powers established by the Laws of the Republic of Kazakhstan;
- 5) authorized organization of the Ministry of Defence of the Republic of Kazakhstan within the powers established by the Laws of the Republic of Kazakhstan in the scope of turnover of armament, military technology and separate types of weapons, explosive substances and items with their use.

#### Article 29. Conditions for issuance of a license and (or) annex to a license

- 1. Licenses shall be issued at the place of a licenser.
- 2. If licensers are the local executive bodies or territorial bodies of the central state body, the license and (or) annex to it shall be issued:
- 1) at the place of registration of an individual or legal entity or a branch or representative of foreign legal entity, with the exception of licenses issued on a class "permissions issued for objects" that shall be issued at the place of carrying out of activity by them;
- 2) to foreign legal entity that does not have a branch or representative in the territory of the Republic of Kazakhstan, at the place of carrying out of activity by it, with the exception of cases when otherwise is established by the legislation of the Republic of Kazakhstan.
  - 3. For obtainment of a license and (or) annex to a license, the applicant shall represent the following documents:
  - 1) application;
- 2) for legal entities carrying out the activity in a financial scope and the activity linked with a concentration of financial resources, as well as the activity linked with a turnover of non-military and service weapons and ammunition to them, the activity linked with a turnover of narcotic drugs, psychotropic substances, precursors, the activity linked with carrying out of protection activity - copy of articles of association (notarized in a case of non-representation of originals

for matching);

- 3) certificate on state registration (reregistration) of a legal entity of an applicant for a legal entity;
- 4) copy of a document certifying identity for an individual;
- 5) copy of a certificate on state registration of an applicant as an individual entrepreneur (notarized in case of non-representation of originals for matching) for an individual entrepreneur;
- 6) copy of a document confirming the payment of a license fee for the right to engage in separate types of activity, with the exception of cases of payment through the payment gateway of "electronic government";
- 7) documents confirming the conformance of an applicant to qualification requirements in cases and in the manner established by the legislation of the Republic of Kazakhstan.
- 4. Representation of documents provided by subparagraphs 2), 3), 4) and 5) of paragraph 3 of this Article shall not be required in case of existence of a possibility of a licenser to receive information containing in these documents from the relevant state informational systems.

Applicant that is a foreign legal entity, foreign person or stateless person shall represent the other documents containing analogous details on the applicant in case if he (she) does not have the documents provided by subparagraphs 2), 3), 4) and 5) of paragraph 3 of this Article.

Additional requirements to the list of documents upon issuance of a license for the right to engage in activity in a financial scope and the activity linked with a concentration of financial resources may be also established by the National Bank of the Republic of Kazakhstan in accordance with the Laws of the Republic of Kazakhstan.

- 5. Force of paragraph 2 of this Article shall not apply to the cases of obtaining the license in the manner provided by Article 73 of the Law of the Republic of Kazakhstan "On pension benefits in the Republic of Kazakhstan".
- 6. For obtaining an annex to a valid license within the type of activity or action (operation) for which there is a license, the following documents shall be required:
  - 1) application;
  - 2) documents confirming the conformance of an applicant to qualification requirements;
  - 3) other documents the representation of which is provided by the Laws of the Republic of Kazakhstan.
  - 7. Licenses shall be issued without limitation of a validity term, unless otherwise provided by annex 1 to this Law.
- 8. Licenses are unalienable, with the exception of licenses issued on a class of "permissions issued for objects" that may be alienable in cases, if the alienability is provided by annex 1 to this Law.

### Article 30. Terms of considering applications on issuance of a license and (or) annexes to a license

- 1. License and (or) annex to a license or substantiated refusal in their issuance shall be issued by a licenser no later than fifteen business days, with the exception of licenses and (or) annexes to a license in the scope of using atomic energy, financial scope and activity linked with a concentration of financial resources, educational scope, oil and gas.
- 2. License and (or0 annex to a license or substantiated refusal in their issuance in the scope of using atomic energy, financial scope and activity linked with a concentration of financial resources, educational scope, oil and gas shall be issued no later than thirty business days from the date of representation of an application with the relevant documents established in accordance with the Laws of the Republic of Kazakhstan.
- 3. Licenses and (or) annexes to a license in the scope of import and export of products subjected to export control, or substantiated refusal in their issuance shall be issued by a licenser within the terms provided by a part two of paragraph 2 of Article 27 of this Law.

Footnote. Article 30 is in the wording of the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

# Article 31. License fee for the right to engage in separate types of activity

License fee for the right to engage in separate types of activity (hereinafter - license fee) shall be recovered upon issuance (re-issuance) of a license (a duplicate of a license) in accordance with the Code of the Republic of Kazakhstan "On taxes and other compulsory payments to the budget" (Tax Code), as well as in other cases provided by the Code of the Republic of Kazakhstan "On taxes and other compulsory payments to the budget" (Tax Code).

Rates of a licensee fee shall be established by the Code of the Republic of Kazakhstan "On taxes and other compulsory payments to the budget" (Tax Code).

Upon issuance of annexes to a license (duplicates of annexes to a license), the licensee fee shall not be recovered.

Upon issuance of licenses and (or) annexes to a license in cases provided by Article 44 of this Law, the license fee shall not be recovered.

### Article 32. Refusal in issuance of a license and (or) annex to a license

- 1. Refusal in issuance of a license and (or) annex to a license shall be carried out if:
- 1) engagement in a type of activity is prohibited by the Laws of the Republic of Kazakhstan for this category of individuals or legal entities;
  - 2) the license fee is not contributed;
  - 3) applicant does not conform to qualification requirements;
- 4) licenser received a respond from the relevant coordinating state body on non-conformance of an applicant to requirements submitted upon licensing;
- 5) in respect of an applicant there is enforced court decision (verdict) on suspension or prohibition of activity or separate types of activity subjected to a licensing;
- 6) the court prohibited the issuance of a license on the basis of a representation of the officer of justice to an applicant-debtor on a temporary basis.

Additional ground for refusal in issuance of licenses and (or) annexes to licenses for engage in activity in a financial scope and activity linked with a concentration of financial resources shall be established by the National Bank of the Republic of Kazakhstan in accordance with the Laws of the Republic of Kazakhstan.

Additional grounds for refusal in issuance of licenses for export and import of products subjected to export control shall be established by the Law of the Republic of Kazakhstan "On expert control".

- 2. Force of paragraph 1 of this Article shall not apply to the cases of obtaining a license in the manner provided by Article 73 of the Law of the Republic of Kazakhstan "On pension benefits in the Republic of Kazakhstan".
- 3. Substantiated refusal in a hard copy or in the form of electronic document in issuance of a license and (or) annex to a license shall be given by a licenser to an applicant within the terms established for issuance of the license and (or) annex to the license.

#### Article 33. Re-issuance of a license and (or)

#### annex to a license

- 1. License and (or) annex to a license shall be subject to re-issuance in the following cases:
- 1) change of last name, first name, patronymic (when available) of an individual-licensee;
- 2) reregistration of an individual entrepreneur-licensee, change of its name or legal address;
- 3) reorganization of a legal entity-licensee in accordance with the manner determined by Article 34 of this Law;
- 4) change of the name and (or) location of a legal entity-licensee;
- 5) alienation of a license by a licensee issued on a class "permissions issued for objects" with the object in favor of third parties in cases if the alienation of a particular license is provided by annex 1 to this Law;
- 6) change of address of location of an object without its physical movement for a license issued on a class "permissions issued for objects" or for annexes to a license with specification of objects;
  - 7) existence of requirement on re-issuance in the Laws of the Republic of Kazakhstan.
- 2. In case of change of a name of the type and (or) subtype of activity for which the permission order is introduced, the licensee shall have the right to file an application on re-issuance of a license and (or) annex to a license.

Licensee having a license for a type of activity, whereby the name is changed, shall have the right to receive the annex to a license in case of preliminary re-issuance of a license.

- 3. For re-issuance of a license and (or) annex to a license, the applicant shall represent the following documents:
- 1) application in the form approved by the authorized body in the scope of permissions and notifications or by the National Bank of the Republic of Kazakhstan;
- 2) for cases of re-issuance of a license the document confirming payment of a license fee, with the exception of payment through the payment gateway of "electronic government";
- 3) copies of documents containing information on changes serving as a ground for re-issuance of a license and (or) annex to a license, with the exception of documents the information from which is contained in the state informational systems;
- 3-1) in case of re-issuance of a license in accordance with subparagraph 5) of paragraph 1 of this Article, the letter of a licensee on consent to alienation of the license shall be represented;
  - 4) other documents the representation of which is provided by the Laws of the Republic of Kazakhstan.
- 4. Application on re-issuance of a license and (or) annex to a license in cases provided by paragraph 1 of this Article shall be filed by an applicant within thirty calendar days from the date of occurrence of changes that served as a ground for re-issuance of a license and (or) annex to a license.

In case of re-issuance of a license on the ground provided by subparagraph 7) of paragraph 1 of this Article, the term for filing application by an applicant on re-issuance of the license shall be established by the Laws of the Republic of Kazakhstan.

Upon re-issuance of a license and (or) annex to a license, the licenser shall not check conformance of an applicant to qualification requirements, unless otherwise established by the Laws of the Republic of Kazakhstan, with the exception of re-issuance on the grounds provided by subparagraphs 4 and 5 of Article 34 of this Law.

- 5. Licenser shall refuse in re-issuance of a license and (or) annex to a license initiated on the grounds provided by subparagraphs 1), 2), 4), 5), 6) and 7) of paragraph 1 of this Article in case of non-presentation or improper drawing up of documents mentioned in paragraph 3 of this Article.
- If the Laws of the Republic of Kazakhstan provide a necessity of checking conformance of an applicant to qualification requirements upon re-issuance of a license, the non-conformance to these requirements shall also be the ground for refusal in re-issuance of a license and (or) annex to a license.
- 6. In case of exclusion of one and more banking operations, one or more classes in insurance activity from a licensable type of activity, the applicant shall be obliged to file the application on re-issuance of a license accompanied by the license within thirty calendar days after the date of entering of the relevant regulatory legal act into force. In case of change of a name of type of activity in a financial scope and the activity linked with a concentration of financial resources, if such change did not entail change of a substance of the licensable type of activity, the applicant shall be obliged to file the application on re-issuance of a license accompanied by the documents confirming payment of a license fee within thirty calendar days after the date of entering of the relevant regulatory legal act into force.
- 7. Re-issuance of a license and (or) annex to licenses shall be drawn up in electronic form in compliance with provisions of Article 48 of this Law.
- 8. Re-issuance of a license and (or) annex to a license shall be carried out by a licenser within three business days from the date of filing the documents provided by paragraph 3 of this Article, unless other term is established by Article 34 of this Law.

Footnote. Article 33 as amended by the Laws of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3); dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

### Article 34. Validity of a license and (or) annex to a license in case of reorganization of a legal entity-licensee

- 1. Upon reorganization of a legal entity-licensee in the form of merge, its available license and (or) annex to a license shall be subject to re-issuance for a newly created legal entity in the result of merge in the manner determined by paragraphs 3 and 4 of Article 33 of this Law. Upon merge of several legal entities-licensees having the licenses for one and the same licensable type of activity of subtype of a licensable type of activity, only one such license and (or) annex to a license at the choice of the applicant shall be subject to re-issuance for a newly created legal entity in the result of merge.
- 2. Upon reorganization of a legal entity-licensee in the form of transformation, all its available license and (or) annex to a license shall be subject to re-issuance for a newly created legal entity in the result of transformation in the manner determined by paragraphs 3 and 4 of Article 33 of this Law, with the exception of cases when for legal organizational form of newly created legal entity in the result of transformation, the engagement in a licensable type of activity or subtype of a licensable type of activity is prohibited by the Laws of the Republic of Kazakhstan.
- 3. Upon reorganization in the form of accession of a legal entity-licensee to another legal entity, the license and (or) annex to a license of accessed legal entity-licensee shall be re-issued on the latter in the manner determined by paragraphs 3 and 4 of Article 33 of this Law. If there are licenses for one and the same licensable type of activity or subtype of a licensable type of activity at accessing legal entity and a legal entity to which the accessing legal entity is accessed, the re-issuance of the license of the accessing legal entity on the legal entity to which the legal entity is accessed shall not be carried out.
- 4. Upon reorganization of a legal entity-licensee in the form of separation, its available license and (or) annex to a license in existence of consent of the legal entity from which the separation is carried out, shall be subject to re-issuance for one separated legal entity in the result of reorganization only in case of confirmation of conformity of the separated legal entity to qualification requirements submitted upon licensing of the relevant type of licensable activity and (or) subtype of licensable type of activity.

Applicant for re-issuance of a license on the ground provided by this paragraph is a separated legal entity in the result of reorganization.

For re-issuance of a license and (or) annex to a license for a separated legal entity in the result of reorganization,

the applicant shall represent details and documents on own conformance to qualification requirements, as well as decision on agreement of the legal entity from which the separation for re-issuance of a license on separated legal entity is carried out, besides the documents provided by paragraph 3 of Article 33 of this Law.

Application on re-issuance of a license and (or) annex to a license for a separated legal entity in the result of reorganization shall be filed by an applicant within thirty calendar days from the date of completion of reorganization in the form of separation.

Licenser shall refuse in re-issuance of a license and (or) annex to a license initiated on the ground provided by this paragraph in case of non-representation or improper drawing up of documents mentioned in paragraph 3 of Article 33 of this Law, part three of this paragraph, as well as in case of non-conformance of the applicant to qualification requirements.

5. Upon reorganization of a legal entity-licensee in the form of division, its available licenses and (or) annex to a license shall be subject to re-issuance for one of newly created legal entity in the result of division in case of confirmation of conformance of this newly created legal entity in the result of reorganization to qualification requirements.

Applicant for re-issuance of a license on the ground provided by this paragraph is one of the newly created legal entities in the result of division.

For re-issuance of a license and (or) annex to a license for one of the newly created legal entities in the result of division, the applicant shall represent details and documents on own conformance to qualification requirements, besides the documents provided by paragraph 3 of Article 33 of this Law.

Application on re-issuance of a license and (or) annex to a license for one of the newly created legal entities in the result of division shall be filed by an applicant within thirty calendar days from the date of completion of reorganization in the form of division.

Licenser shall refuse in re-issuance of a license and (or) annex to a license initiated on the ground provided by this paragraph in case of:

- 1) non-presentation or improper drawing up of documents mentioned in paragraph 3 of Article 33 of this Law and in a part of three of this paragraph;
  - 2) non-conformance of an applicant to qualification requirements;
- 3) if the license and (or) annex to a license were re-issued previously for another legal entity from among the newly created legal entities-licensees in the result of division.
- 6. Within two business days from the date of receipt of documents of an applicant for re-issuance of a license and (or) annex to a license on the grounds provided by paragraphs 4 and 5 of this Article, the licenser shall be obliged to check completeness of represented documents.

In case of establishment of a fact of incompleteness of represented documents, the licenser shall give substantiated refusal in the following consideration of an application within established terms.

7. For receipt of coordinations (concurrent permissions) of the state bodies for the purpose of conforming the applicant to requirements established by the regulatory legal acts, in cases established by the Laws of the Republic of Kazakhstan, decrees of the President of the Republic of Kazakhstan or regulations of the Government of the Republic of Kazakhstan, the licenser shall direct a request to the relevant state bodies at the place of carrying out of the activity by the applicant within two business days from the date of registration of documents of the applicant for re-issuance of a license and (or) annex to a license on the grounds provided by paragraphs 4 and 5 of this Article.

State bodies on the basis of request of a licenser shall direct a respond to the relevant licenser within ten business days on conformance or non-conformance of an applicant to submitted requirements.

Regulatory legal acts may established the other terms for the cases provided by a part one of paragraph 6 of this Article, parts one and two of this paragraph.

8. All the documents submitted to the relevant licenser or to the public service centre for re-issuance of license and (or) annex to a license shall be accepted by inventory, the copy of which shall be directed (handed) to the applicant with a note on a date of acceptance of documents by the mentioned body.

In case of filing an application in electronic form by the state informational system of permissions and notifications, the confirmation of accepting the application is the document certified by electronic digital signature of the authorized body of the licensing body.

9. License and (or) annex to a license on the grounds provided by paragraphs 4 and 5 of this Article shall be re-issued by a licenser no later than fifteen business days with the exception of licenses and (or) annexes in the scope of using atomic energy, in financial scope and activity linked with a concentration of financial resources, import and export of products subjected to export control, education, oil and gas, that on the grounds provided by paragraphs 4 and 5 of this Article shall be re-issued no later than thirty business days from the date of representation of an application with the relevant documents established by a part three of paragraph 4 and part three of paragraph 5 of this Article.

10. Licensers shall be obliged to issue re-issued license and (or) annex to a license or give a substantiated refusal in their re-issuance within the terms established by this Article.

Footnote. Article 34 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

### Article 35. Termination of validity of a license and (or) annexes to a license

- 1. License and (or) annex to a license shall terminate their validity in cases of:
- 1) expiration of term on which they are issued;
- $2) \ \hbox{commission of actions (operations) in a full measure for carrying out of which they are issued; } \\$
- 3) deprivation (revocation) of a license and (or) annex to a license;
- 4) termination of activity of an individual, liquidation of a legal entity;
- 5) voluntary reference of a licensee to a licenser on termination of validity of a license and (or) annex to a license;
- 6) exclusion of a license or separate type of activity and (or) subtype of activity or action (operation) from annex 1 to this Law;
  - 7) exclusion of a licensee from among the persons subjected to licensing;
  - 8) in other cases provided by the Laws of the Republic of Kazakhstan.
- 2. Upon termination of validity of a license and (or) annex to a license, the licensee shall be obliged to return the license and (or) annex to the license to a licenser within ten business days, with the exception of cases of termination of validity of the license and (or) annex to the license on the grounds provided by subparagraphs 6) and 7) of paragraph 1 of this Article, or drawing up of a license only in electronic form.
- 3. From the date of termination of validity of a license and (or) annex to a license, with the exception of cases provided by subparagraphs 6) and 7) of paragraph 1 of this Article, licensees shall not have the right to carry out types (subtypes) of activity or actions (operations) for carrying out which the license and (or) annex to the license that terminated validity are issued.

### Article 36. Special conditions of licensing of separate types of activity

- 1. Procedure, terms and conditions for issuance, re-issuance, refusal in issuance, suspension, termination of validity of licenses and (or0 annexes to licenses for the right to engage in activity in a financial scope and the activity linked with a concentration of financial resources shall be established by the National Bank of the Republic of Kazakhstan in accordance with the Laws of the Republic of Kazakhstan.
- 2. Conditions and procedure for issuance of licenses for the right to engage in activity in the scope of gambling industry shall be determined by the Law of the Republic of Kazakhstan "On gambling industry".
- 3. Conditions and procedure for issuance of licenses for activity on organization of constructing residential buildings on account of attraction of money of interest holders shall be determined by the Law of the Republic of Kazakhstan "On participatory interest in housing construction".
- 4. Special conditions of issuance of a license for the right to engage in activity in the scope of architecture, urban construction and construction shall be determined by the Law of the Republic of Kazakhstan "On architectural, urban construction and construction activity in the Republic of Kazakhstan".
- A category of a licensee shall be specified in annex to a license as special conditions for issuance of a license in accordance with the Law of the Republic of Kazakhstan "On architectural, urban construction and construction activity in the Republic of Kazakhstan".
- 5. Licensing of types and subtypes of activity in the scope of using atomic energy shall be carried out in respect of the types of devices, installations, materials, substances, wastes that are determined in the Law of the Republic of Kazakhstan "On use of atomic energy".

The type of devices, installations, materials, substances, wastes with which the licensee conduct works shall be specified in annex to a license as special conditions of issuance of a license.

# Article 37. General provisions on licensing in the scope of export and import

1. List of commodities, export and (or) import of which is subject to licensing shall be established by the Government of the Republic of Kazakhstan on the basis of the Single list of commodities to which the prohibitions or restrictions to import or export are applied by the member states of the Customs Union in a trade with third countries approved by the decision of the relevant body of the Customs Union.

Licensing of export and (or) import of commodities shall not have more restrictive or distorting effect on export or import of commodities than the purposes in pursuance of which these restrictions were introduced.

Procedure and conditions of issuance of licenses and permissions for export and (or) import shall be established in accordance with international treaties.

2. List of products subjected to export control, export or import of which is subject to licensing shall be established by the Government of the Republic of Kazakhstan on the basis of a nomenclature (list) of products subjected to export control, in accordance with international regimes of export control and for the purpose of ensuring the national security.

Licenses and (or) annexes to a license in the scope of import and export of products subjected to export control shall be issued no later than thirty calendar days, with the exception of case when receipt of the confirmation of authenticating a certificate of a final user of import country is necessary, where the license is issued upon receipt of such confirmation.

3. License shall be issued for each commodity or product subjected to export control classified in accordance with the Single commodity nomenclature of foreign economic activity in respect of which the licensing is introduced.

Licenser shall issue the following types of licenses:

- 1) general license issued to a participant of foreign trade activity on the basis of a decision of the member state of the Customs Union and providing the right to export and (or) import of a separate type of commodity in a quantity determined by the license;
- 2) exclusive license providing the right to export and (or) import of a separate type of commodity to a participant of foreign trade activity;
- 3) single-use license issued to a participant of foreign trade activity on the basis of a foreign trade agreement (contract) and providing the right to export and (or) import of a licensable commodity in a certain quantity.

Issuance of general and exclusive licenses for export and (or) import of commodities shall be carried out by a licenser in cases provided by decision of the relevant body of the Customs Union.

General and exclusive licenses shall not be issued for export and (or) import of products subjected to export control.

4. Licensees that received general and exclusive licenses shall be obliged to represent a report to the authorized bodies in the scope of export and import on the course of execution of the license on a quarterly basis till fifteenth day of a month next to the accounting quarter.

Licensees that received single-use licenses shall be obliged to represent information on execution of the license to the authorized bodies in the scope of export and import within fifteen calendar days upon expiry of the term of validity of the license.

5. Validity period of a single-use license may not exceed one year from the date of its beginning. Validity term of a single-use license may be limited by the validity term of foreign trade agreement (contract) or validity term of a document that is the ground for issuance of the license.

For commodities in respect of which the quantitative limitations are introduced, the validity term of a license shall be terminated in the calendar year on which the quota is established.

Validity term of the general license for export and (or) import of commodities may not exceed one year from the date of its beginning, and for commodities in respect of which the quantitative limitations are introduced shall be terminated in the calendar tear on which the quota is established, unless otherwise stipulated by decision of the relevant body of the Customs Union.

Validity term of exclusive license shall be established by decision of the relevant body of the Customs Union in each particular case.

6. Procedure for suspension or termination of validity of a license for export and import shall be established by the relevant body of the Customs Union.

#### Chapter 6. LICENSING PROCEDURES

# Article 38. Special aspects of issuance of permission of the second category

1. Permission requirements and list of documents required for issuance of permissions of the second category shall be determined by the regulatory legal acts.

By this, the list shall not contain the documents information from which may be received from the state informational systems and (or) form of details represented by an applicant in cases provided by the legislation of the Republic of Kazakhstan.

2. Rules of carrying out licensing procedures and rules of carrying out activity or actions (operations) for which the licensing procedure is provided by this Law shall be approved by the regulatory legal acts.

Footnote. Article 38 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

# Article 39. Terms of consideration of applications on issuance of permission of the second category

Permission of the second category shall be issued within the term established by the regulatory legal acts. Body authorized to issue permissions of the second category shall be obliged to issue permission of the second category or give a substantiated refusal in its issuance within established term.

Footnote. Article 39 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

### Article 40. Duties and payments recovered upon carrying out licensing procedures

Amount of duties or payments recovered upon carrying out licensing procedures, procedure for their calculation and payment shall be established in accordance with the legislation of the Republic of Kazakhstan.

# Article 41. Refusal in issuance of permission of the second category

- 1. Refusal in issuance of permission of the second category shall be carried out on the grounds provided by the regulatory legal acts.
- 2. Substantiated refusal to an applicant in issuance of permission of the second category shall be given by the body authorized for issuance of permission of the second category within the terms established for issuance of permission of the second category.
- 3. Substantiated refusal in issuance of permission of the second category shall be given in written form, as well as in the form of electronic document or in a hard copy.

Footnote. Article 41 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

#### Article 42. Termination of validity of permission of the second category

Permission of the second category shall terminate validity in cases and in the manner determined by the regulatory legal acts.

By this, deprivation (revocation) of permissions of the second category shall be carried out in accordance with paragraph 5 of Article 45 of this Law.

Footnote. Footnote. Article 42 as amended by the Law of the Republic of Kazakhstan dated  $29.09.2014 \, \text{No.} \, 239-\text{V}$  (the order of enforcement see Article 3).

# Chapter 7. ISSUANCE OF DUPLICATES, CORRECTION OF MISTAKES AND SUSPENSION, RESTORATION OF ACTION AND DEPRIVATION (REVOCATION) OF PERMISSION AND (OR) ANNEX TO PERMISSION

# Article 43. Issuance of duplicates of permission and (or) annex to permission, as well as conversion to electronic format

- 1. Upon loss, damage of permission and (or) annex to permission issued in a hard copy, the licensee or owner of permission of the second category shall have the right to receive duplicates of permission and (or) annex to permission.
- 2. If permission and (or) annex to permission shall be issued in a hard copy, the licensee or owner of permission of the second category shall have the right to convert them in electronic format and receive electronic form of permission upon application.

Force of this paragraph shall not apply to permissions issued only in a hard copy.

- 3. Lost, damaged blanks of permission and (or) annex to permission shall be considered as invalid from the date of receipt of application by the licensing body on loss or damage.
- 4. Licensing body shall carry out issuance of duplicates of permission and (or) annex to permission with an inscription "duplicate" in the upper right corner and with specification of date of the primary issuance of the permission and (or) annex to the permission and date of their re-issuance within two business days from the date of filing an application.

# Article 44. Correction of mistakes in issued permissions and (or) annexes to permissions

In case of detecting mistakes in issued permission and (or) annex to permission, the licensee or owner of permission of the second category shall have the right to file an application in a free form on their correction.

Licensing body shall introduce necessary amendments into the state electronic register of permissions and notifications and issue the permission and (or) annex to the permission with the relevant corrections within two business days from the date of filing the relevant application by an applicant.

# Article 45. Suspension, restoration of validity, deprivation (revocation) of permission and (or) annex to permission

- 1. Suspension of validity of permission and (or) annex to permission shall be carried out in the manner and on the ground provided by the Laws of the Republic of Kazakhstan.
- 2. Carrying out of separate types (subtypes) of activity or actions (operations) by licensees or owners of permissions of the second category for carrying out of which the permission and (or) annex to permission were issued, the validity of which is suspended shall not be allowed, unless otherwise provided by the Laws of the Republic of Kazakhstan.
- 3. Upon elimination of violations that are the ground for suspension of permission and (or) annex to permission, the licensee or owner of permission of the second category shall have the right to file an application to the licensing body on elimination of violations accompanied by the copies of confirming documents upon expiry of the validity term of suspension of permission and (or) annex to it, unless otherwise provided by the Laws of the Republic of Kazakhstan.

Licensing body shall verify elimination of violations within ten business days from the date of filing an application by an applicant on elimination of violations in the manner provided by paragraph 2 of Article 51 of this Law.

In case of confirming the fact of elimination of violations by an applicant, the licensing body shall adopt decision on restoration of validity of permission and (or) annex to permission within the term mentioned in a part two of this paragraph.

By this, validity of permission and (or) annex to permission shall be restored from the date of adoption of decision

mentioned in a part three of this paragraph.

- 4. In case of non-representation of an application on elimination of violations being the ground for suspension of permission and (or) annex to permission by a licensee or owner of permission of the second category upon expiry of the term of suspension, the licensing bodies shall initiate a procedure for deprivation (revocation) of permission and (or) annex to permission within ten business days from the date of expiration of the term of suspension.
- In the process of considering the question on deprivation (revocation) of permission and (or) annex to it, the licensee or owner of permission of the second category shall have the right to prove the fact of elimination of the violation. In this case, the licensing body shall be governed by parts two and three of paragraph 3 of this Article.
- 5. Deprivation (revocation) of permission shall be carried out in the manner and (or) on the grounds provided by the Laws of the Republic of Kazakhstan.

Footnote. Article 45 as amended by the Law of the Republic of Kazakhstan dated 29.12.2014 No. 269-V (shall be enforced from 01.01.2015).

#### Chapter 8. NOTIFICATION ORDER

#### Article 46. Notification order

- 1. Limiting list of notifications shall be provided in Annex 3 to this Law.
- 2. Notifications shall be directed by applicants to the state body carrying out receipt of notifications by the state informational system of permissions and notifications.
- 3. Applicants shall declare their conformance to requirements submitted by the regulatory legal acts for carrying out of activity or actions in respect of which the notification order is introduced by representing the forms of details in cases provided by the regulatory legal acts.
- 4. Applicant shall have the right to begin or be obliged to terminate carrying out of activity or particular actions immediately after direction of the relevant notification, unless otherwise established by the Laws of the Republic of Kazakhstan.

Considering the specific character of separate types of activity or actions in separate cases, the Laws of the Republic of Kazakhstan may establish the terms for directing the notifications.

5. In cases established by the Laws of the Republic of Kazakhstan, the notification shall be accompanied by necessary documents, by this the requisitioning of documents and other information from applicants that in accordance with the legislation of the Republic of Kazakhstan may be received from the state electronic informational resources.

Applicant shall bear responsibility for credibility of details contained in notification and (or) documents accompanied to the notification.

- 6. Upon introduction of notification order in respect of particular type of activity or action instead of permission order, the individuals and legal entities having valid permission for this type of activity or action on a date of introduction of notification order, shall be considered as directed the notification and automatically shall be included into the state electronic register of permissions and notifications.
- 7. In case of change of legal address of an individual, location of a legal entity, address of carrying out the activity or actions specified in notification, as well as registration data the information on which is compulsory for filling in the notification, the applicant shall be obliged to direct the notification on mentioned changes in the manner established by this Law within ten business days from the date of change.

Footnote. Article 46 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

### Article 47. Confirmation of direction of notification

Upon references of applicants, the state bodies carrying out acceptance of a notification shall be obliged to issue abbreviates to the applicants from the state electronic register of permissions and notifications on directed notifications by the applicants within three business days from the date of reference.

State bodies and third parties shall receive confirmation of direction of a notification by the applicant from the state bodies carrying out acceptance of notifications, or from the state electronic register of permissions and notifications.

### Chapter 9. INFORMATIONAL TECHNOLOGIES UPON CARRYING OUT OF LICENSING, LICENSING PROCEDURES AND NOTIFICATIONS

# Article 48. Carrying out of licensing, licensing procedures and notifications in electronic form

1. Licensing and direction of notifications shall be carried out in electronic form with the use of the state informational system of permissions and notifications and the state electronic register of permissions and notifications.

Licensing procedures shall be carried out I electronic form with the use of state informational system of permissions and notifications and the state electronic register of permissions and notifications considering the provisions of paragraph 3 of Article 52 of this Law.

Permissions issued in the form of electronic document shall be equal to permissions in a hard copy.

Licensing bodies shall be obliged to include information on carried out licensing procedures in a hard copy into the state electronic register of permissions and notifications at the same time with carrying out of the licensing procedure.

The licensing body shall bear responsibility for non-performance of requirements established by a part four of this

Force of this paragraph shall not apply to permissions that shall not be subject to automation.

- 2. For receipt of permission through the public service centre, the applicant shall have the right to refer to the public service centre regardless of its location, with the exception of permissions in a financial scope and for activity linked with a concentration of financial resources.
- 3. In case of reference of an applicant for passing the licensing procedure through the public service centre, the employee of the public service centre shall certify electronic application or another analogous document by own electronic digital signature issued for use in official purposes. Such certification shall be carried out on the basis of written agreement of the applicant.
- 4. In case of reference of an applicant for receipt of permission and (or) annex to permission in a hard copy, the permission and (or) annex to the permission shall be drawn up in electronic form, printed and notarized under seal of the licensing body and signature of the head of the licensing body.
- 5. Permission and (or) annex to permission shall be issued in a hard copy in case of absence of possibility to issue the permission and (or) annex to the permission in electronic form.
- 6. In case of filing an application in electronic form by the state informational system of permissions and notifications, the registration of the application by a licenser and body authorized for issuance of permission of the second category shall be performed within one business day.

### Article 49. State electronic register of permissions and notifications

- 1. Licensing bodies shall maintain the state electronic register of permissions and notifications on a permanent basis. State electronic register of permissions and notifications on notifications shall be maintained by the state bodies carrying out acceptance of notifications in cases provided by the legislation of the Republic of Kazakhstan.
- 2. State bodies shall be obliged to receive information on permissions and notifications from the state electronic register of permissions and notifications for exercising own powers without additional requisitioning of confirmation of specified information from individuals and legal entities.
- 3. State electronic register of permissions and notifications is the ground for formation of a risk management system and plant of conducting inspections.
- 4. In case of suspension of activity or separate types of activity or actions (operations) of an individual or legal entity, the relevant details shall be introduced into the state electronic register of permissions and notifications.
- 5. Exclusion of a notification from the state electronic register of permissions and notifications shall be carried out upon application of an applicant, under court decision on prohibition of activity or separate types of activity or actions of an individual or legal entity, as well as in other cases provided by the Laws of the Republic of Kazakhstan.
- 6. Licensing bodies and state bodies carrying out acceptance of notifications in the period of temporary or permanent absence of a possibility to maintain the state electronic register of permissions and notifications shall be obliged to ensure fixing and preserving of all necessary historical data and perform filling of the state electronic register of permissions and notifications by historical data after occurrence of such possibility.

#### Chapter 10. RESPONSIBILITY AND CONTROL

# Article 50. Responsibility for breach of the legislation of the Republic of Kazakhstan on permissions and notifications

Breach of the legislation of the Republic of Kazakhstan on permissions and notifications shall entail responsibility established by the Laws of the Republic of Kazakhstan.

#### Article 51. Control on notifications and permission control

- 1. Permission control shall be carried out in the form of inspection and other forms.
- 2. Inspection of conformance of an applicant to qualification or permission requirements before issuance of permission and (or) annex to permission shall be carried out in the manner of other form of control with a possibility to visit the inspected person, following the results of which the decision on conformance or non-conformance of the applicant to qualification or permission requirements shall be adopted.

Qualification and permission requirements that may not be confirmed by representing the documents, as well as credibility of represented documents shall be subject to inspection in the manner mentioned by this paragraph.

- 3. Inspection of compliance with the legislation of the Republic of Kazakhstan on permissions and notifications by licensees and owners of permissions of the second category after issuance of the permission and (or) annex to permission (in the process of carrying out of activity) shall be carried out in accordance with the Laws of the Republic of Kazakhstan "On state control and supervision in the Republic of Kazakhstan" and "On National Bank of the Republic of Kazakhstan" by scheduled and unscheduled inspections.
- 4. After receipt of a notification, the state body shall inspect compliance with requirements by an applicant established by the regulatory legal acts for carrying out of activity in the process of carrying out the control and supervision activity in accordance with the Laws of the Republic of Kazakhstan "On state control and supervision in the Republic of Kazakhstan" and "On National Bank of the Republic of Kazakhstan" on an independent basis. Footnote. Article 51 as amended by the Law of the Republic of Kazakhstan dated 29.09.2014 No. 239-V (the order of enforcement see Article 3).

#### Chapter 11. FINAL AND TRANSITIONAL PROVISIONS

#### Article 52. Transitional provisions

- 1. Permissions and notifications being valid before entering of this Law into force and not included into the lists of permissions and notifications provided by annexes 1, 2 and 3 to this Law, considering the exclusions provided by paragraph 2 of Article 3 of this Law shall not be compulsory for existence and the carrying out of activity or actions (operations) without these permissions and notifications shall not entail responsibility for individuals or legal entities.
- 2. Permission or notification order shall apply to the types of activity not subjected to licensing on a common basis in accordance with paragraph 8 of Article 4 of the Law of the Republic of Kazakhstan "On licensing" from the date of entering of this Law into force upon condition of their existence in annexes 1, 2 and 3 to this Law.
- 3. Carrying out of licensing bodies before 1 January 2016 shall be performed in electronic form with the use of state informational system of permissions and notifications and the state electronic register of permissions and notifications in existence of such possibility.

Carrying out of licensing procedures from the 1 January 2016 shall be performed only in electronic form with the use of state informational system of permissions and notifications and the state electronic register of permissions and notifications.

Permissions of the second category on which automation of collection and storage of permissions in the state electronic register of permissions and notifications or carrying out of licensing procedures will not be introduced and ensured till 31 December 2015 shall be subject to cancellation, with the exception of permissions of the second category included into the list not subjected to automation, approved by the joint order of the authorized body in the scope of informatization and the authorized body in the scope of permissions and notifications.

4. Licenses in the scope of using atomic energy issued before entering of this Law into force shall be subject to reissuance for licenses with a limitation of their validity term within six months after the date of entering of this Law into force in accordance with annex 1 to this Law.

#### Article 53. Order of entering of this Law into force

- 1. This Law enters into force upon expiry of six months after the date of its first official publication.
- 2. The Law of the Republic of Kazakhstan dated 11 January 2007 "On licensing" shall be deemed to have lost force (The Bulletin of the Parliament of the Republic of Kazakhstan, 2007, No. 2, Article 10; No. 20, Article 152; 2008, No. 20, Article 89; No. 23, Article 114; No. 24, Article 128, 129; 2009, No. 2-3, Article 16, 18; No. 9-10, Article 47; No. 13-14, Article 62, 63; No. 17, Article 79, 81, 82; No. 18, Article 84, 85; No. 23, Article 100; No. 24, Article 134; 2010, No. 1-2, Article 4; No. 7, Article 28; No. 15, Article 71; No. 17-18, Article 111, 112; No. 24, Article 146, 149; 2011, No. 1, Article 2; No.

2, Article 21, 26; No. 11, Article 102; No. 12, Article 111; No. 17, Article 136; No. 24, Article 196; 2012, No. 2, Article 14; No. 3, Article 25; No. 12, Article 84; No. 13, Article 91; No. 15, Article 97; No. 21-22, Article 124; 2013, No. 4, Article 21; No. 10-11, Article 56; No. 12, Article 57; No. 16, Article 83).

The President of the Republic of Kazakhstan N.Nazar

© 2012. Republican Center of Legal Information of the Ministry of Justice RSE on the basis of economic control rights